Instructions on Knowledge Test for Futures Investors Suitability

(V20180615)

Ⅰ. Requirements for Hardware and Software

1. Computer equipment: Please use computers that are able to run Chrome browser version 24.0 and above, Firefox browser version 20.0 and above, and Opera browser version 15 and above to complete this test. You can also use android mobile phones/pads to finish this test. Devices with IOS system are not recommended for this test.

2. Web browser: The testing system supports the following browsers: Chrome version 24.0 and above, Firefox version 20.0 and above, and Opera version 15 and above; The latest Chrome and FireFox browser versions are recommended in order to get the best cross-platform support; Please do not use IE browser to login test system.

3. Network connection: In the process of test, computer equipment must be connected to the Internet and the network must be available.

4. Camera equipment: During the test, the test-takers' photos will be gathered and the answering process will be monitored, so every test machine shall be equipped with a built-in or an external camera equipment; No compulsive requirement are imposed on camera equipment specifications and configurations, and the test system will open camera equipment automatically after login.

5. Printing equipment: The test-takers must print score report for reference once they pass the test, so each testing machine must be properly connected to the printer.

II. Testing Process

1. Log into www.cfachina.org/testcenter/, and click the "Financial Futures Test", "Commodity Futures Options Test", "Specified Domestic Futures Product Test（for Iron Ore and Crude Oil in Chinese and English）"to enter the corresponding test system.

2. Click the "Start" button to enter the login page. If you already have a Test Registration Number, directly input them to begin testing (applicable to re-login the system after the problems such as interrupt network or testing machine fault are repaired during the test); if not, click the "Register Now" button to obtain a Test Registration Number.

3. After entering the registration page, test-taker shall fill in the name, gender, certificates type, certificate number, and account-opening institutions (including such types as futures company, security company, overseas broker or overseas special brokerage participant; choose one of them to fill in according to one's own circumstance) and other information as required.

4. After filling in the basic information, the system will inform the test-taker of his/her "Login Account", which is the "Test Registration Number" aforesaid. Please remember this number so that you can log in the system again or query test score again after the test.

5. Before the test starts, the system will gather test-taker photo to display on the score report. Please face

the camera equipment and adjust your position to ensure the distinct image.

6. After the photo is collected, you need to read the *Test Notice* so as to know the test time, question types, question quantity, score, and other matters needing attention, and click the "Confirm" button to start the test.

7. In the process of test, the test-taker can only leave the testing interface one time (switch screen). Otherwise, the system will automatically submit the test paper and judge it as discipline-violating.

8. The system checks the papers automatically and gives the score after test-takers submit papers. Click the "View Test Score" button to obtain it.

9. Click the "Score Report" in the test score interface to obtain the report in PDF format. There are two pages in the score report, the first page consists of the test-taker information and test score, the second page are the test-taker photos that the system collected in the process of test.

III. Matters Needing Attention

1. The copyright of the test questions belongs to China Futures Association, and no unit or individual is allowed to reproduce, compile, share, or otherwise duplicate or spread them.

2. Account-opening institutions include such types as futures company, security company, overseas broker or overseas special brokerage participant. In case of futures company, you can select the headquarters or departments through the drop-down menu. As for security company, overseas broker and overseas special brokerage participant, the information should be filled in manually. **Account-opening institution shall be unique; if two or more types of institutions are input at the same time, the system will display the account-opening institutions in the order of overseas special brokerage participant, overseas broker, security company, futures company on the score report.**

3. If the information of futures company headquarters and departments in account-opening institutions is wrong, relevant person in charge need uniformly fill in *Information Update Application Form* of *Futures Company Branches* (see the next announcement) and send it to specified mailbox so that it can be updated in time.

4. In case of abnormal situations such as network outage, crashes, test machine failure during the test, the test-takers can input Test Registration Number to log back in the system to continue the test.

5. In case network outage happens during the test, the test won't be affected and answers will be saved and recorded by system. However, the network must be available while test-takers enter the test interface at the beginning of the test and uploading the answers at the end of the test.

6. Please read these instructions carefully and organize test-takers to take test according to the requirement. In case of any matter uncovered, please call technical service hotline at 021-61948897 (technical support company) or 010-88086243/6899(China Futures Association).